

FORM PTO-1390 MODIFIED	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER <b>4662-177</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)  <b>10/576,447</b>
INTERNATIONAL APPLICATION NO. <b>PCT/EP2004/012064</b>	INTERNATIONAL FILING DATE <b>25 October 2004</b>	PRIORITY DATE CLAIMED <b>28 October 2003</b>

TITLE OF INVENTION

**PROCESS FOR THE PREPARATION OF GLYCERALDEHYDE ACETONIDE**

APPLICANT(S) FOR DO/EO/US

**QUAEDFLIEG et al**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
  2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
  3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
  4. ☐ The U.S. has been elected (Article 31).
  5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
    - a. ☐ WO 2005/040149 is attached hereto (      pages specification, claims & abstract (      claims),      sheets drawings).
    - b. ☐ has been communicated by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(3))
    - a. ☐ is attached hereto (      pages specification, claims & abstract (      claims),      sheets drawings,      page Certificate of Translation).
    - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
  7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
    - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
    - b. ☐ have been communicated by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
    - d. ☐ have not been made and will not be made.
  8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
  9.
    - a. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
    - b. ☐ Declaration was submitted to the International Bureau during International Phase (see copies of Declaration (      page Form PCT/RO/101 and Form PCT/IB/371 and first page of printed publication acknowledging receipt thereof attached).
  10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11 To 20 below concern document(s) or information included:**
11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
  12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
  13.
    - a. ☐ A FIRST preliminary amendment.
    - b. ☐ A SECOND or SUBSEQUENT preliminary amendment.
  14. ☐ An Application Data Sheet under 37 C.F.R. § 1.76.
  15. ☐ A substitute specification.
  16. ☐ A change of power of attorney and/or address letter.
  17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
  18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
  19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
  20. ☒ Other items or information. SUBMISSION OF PRIORITY DOCUMENTS; PRIORITY DOCUMENT NO. 03078392.2

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>10/576,447</b>			INTERNATIONAL APPLICATION NO. <b>PCT/EP2004/012064</b>			ATTORNEY'S DOCKET NUMBER <b>4662-177</b>		
<input checked="" type="checkbox"/> The following fees are submitted:								
<b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):</b>								
21. <input type="checkbox"/> Basic national fee ..... \$300.00 (1631)/\$150.00 (2631)						\$		
22. <input type="checkbox"/> Examination Fee..... \$0 (1643/2643)						\$		
..... \$200.00 (1633)/\$100.00 (2633)								
23. <input type="checkbox"/> Search Fee ..... \$0 (1640/2640)								
..... \$100 (1641)/\$50 (2641)								
..... \$400 (1642)/\$200 (2642)								
..... \$500.00 (1632)/\$250.00 (2632)						\$		
<b>TOTAL OF ABOVE CALCULATIONS</b>						\$	0.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets		Extra Sheets		Number of each additional 50 or fraction thereof (round up to a whole number)		RATE		
0 -100		0 /50 =		0.00		\$0.00 (1681) \$0.00 (2681)		
Surcharge of <b>\$130.00</b> (1617)/ <b>\$65.00</b> (2617) for furnishing the oath or declaration later than <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).								
CLAIMS		NUMBER FILED		# EXTRA		RATE		
Total Claims		11 minus 20 =		0 X		\$50.00 (1615)/ \$25.00 (2615)		
Independent Claims		1 minus 3 =		0 X		\$200.00 (1614) \$100.00 (2614)		
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)						\$360.00 (1616)/\$180.00 (2616)		
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s): One Month Extension \$120.00 (1251)/\$60.00 (2251); Two Month Extensions \$450.00 (1252)/\$225.00 (2252); Three Month Extensions \$1020.00 (1253)/\$510.00 (2253); Four Month Extensions \$1590.00 (1254)/\$795.00 (2254)						\$	0.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.								
Processing fee of <b>\$130.00</b> (1618), for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).							0.00	
<b>TOTAL NATIONAL FEE =</b>						\$	0.00	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). <b>\$40.00</b> (8021) per property						\$	40.00	
Fee for Petition to Revive Unintentionally Abandoned Application; <b>\$1500.00</b> (1453) / <b>\$750.00</b> (2453)						\$	0.00	
<b>TOTAL FEES ENCLOSED =</b>						\$	40.00	
						Amount to be refunded:	\$	
						Amount to be Charged:	\$	

a. ☒ A check in the amount of \$40.00 to cover the above fees is enclosed.  
 b. ☐ Please charge my Deposit Account No. 14-1140 in the amount of \$\_\_\_\_\_ to cover the above fees.  
 A duplicate copy of this form is enclosed.

- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed.
- d. ☐ **CREDIT CARD PAYMENT FORM ATTACHED.**
- e. ☐ The entire content of International Application No. **PCT/EP2004/012064** and any U.S. and foreign application(s) corresponding thereto, and , referred to in this application is/are hereby incorporated by reference in this application.

**NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b) must be filed and granted to restore the application to pending status.**

**CORRESPONDENCE ADDRESS**

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**Bryan H. Davidson**  
NAME

**30,251**

REGISTRATION NUMBER

**July 14, 2006**

Date